

# Citizen and stakeholder involvement in the development and implementation of an SUMP - tools, benefits, and limitations

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# SUMP: a concept promoted by the EU

- Action Plan on Urban Mobility 2009
  - 20 actions at EU level/ Action 1: SUMP
  - Review ongoing!
- EU Transport Ministers support SUMP
- European Parliament calls for introduction
- Transport White Paper 2011: Action 31
- Requirement in CIVITAS Call 2011
- EU Projects: ELTISplus SUMP, QUEST, ADVANCE, PUMAS.... more to come
- Urban Mobility Package (spring 2013)
- Directive, Support Framework, ...?





# SUMPs in the EU Transport White Paper

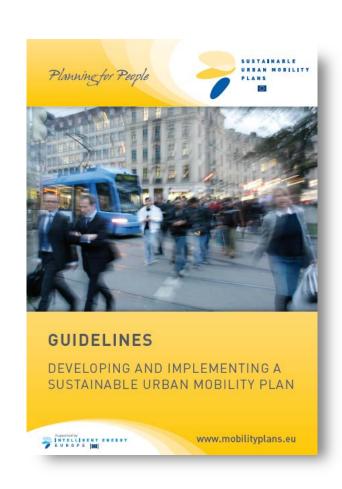


- to "establish procedures and financial support mechanisms" at European level for preparing Urban Mobility Audits and Urban Mobility Plans;
- to "examine the possibility of a mandatory approach for cities of a certain size, according to national standards based on EU guidelines";
- to link regional development and cohesion funds to cities and regions that have submitted a current, and independently validated Urban Mobility Performance and Sustainability Audit certificate;
- to consider the establishment "of a European support framework for a progressive implementation of Urban Mobility Plans in European cities."

# ELTISplus: the reference project for SUMP



- Knowledge consolidation, awareness raising and training on SUMP in 31 European countries
- Guidelines on Developing and Implementing a Sustainable Urban Mobility Plan
- Based on broad practitioner input from all over Europe
  - 49 interviews with stakeholders/ 26 countries
  - online survey, expert consultations
  - workshops with 54 experts from 19 countries
- www.mobilityplans.eu



# Characteristics of sustainable urban mobility planning



- Active involvement of all stakeholders and the engagement of citizens
- Commitment to sustainability, i.e. balancing social equity, environmental quality and economic development
- Looking "beyond the borders"
  - an integrated approach between policy sectors
  - cooperation between authority levels
  - coordination across neighbouring authorities
- Focus on achieving ambitious, measurable targets
- Targeting cost internalisation i.e. reviewing transport costs and benefits for society
- Including all steps of the life cycle of policy making and implementation

### Comparison



Traditional Transport Planning	<b>⇔</b>	Sustainable Urban Mobility Planning
Focus on traffic	$\Leftrightarrow$	Focus on people
Primary objective: Traffic flow capacity and speed	$\Leftrightarrow$	Primary objectives: Accessibility and quality of life
Political mandates and planning by experts	$\Leftrightarrow$	Important stakeholders are actively involved
Domain of transport engineers	⇔	Interdisciplinary planning
If you plan for cars and traffic, you get cars and traffic.	⇔	Cor If you plan for people and places, you get people and places.
mvesiment-galaca planning	$\Leftrightarrow$	Cos
Focus on large and costly projects	$\Leftrightarrow$	Gradual efficiency increase and optimisation
Limited impact assessment	⇔	Intensive evaluation of impacts and shaping of a learning process

Fred Kent, President of "Project for Public Space", www.pps.org

### An SUMP is a ...



"... strategic plan designed
to satisfy the mobility needs of
people and businesses
in cities and their surroundings
for a better quality of life.
It builds on existing planning practices
and takes due consideration of
integration, participation, and evaluation principles."

### The SUMP planning cycle



A full SUMP cycle includes four main phases:

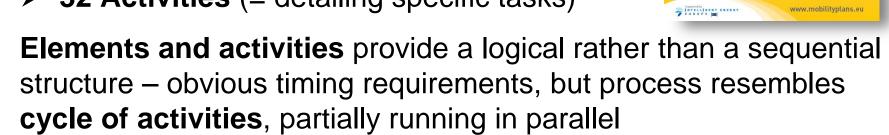
I: Preparing well for the planning process

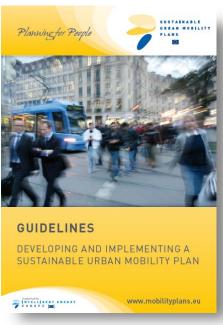
II: Rational and transparent goal setting

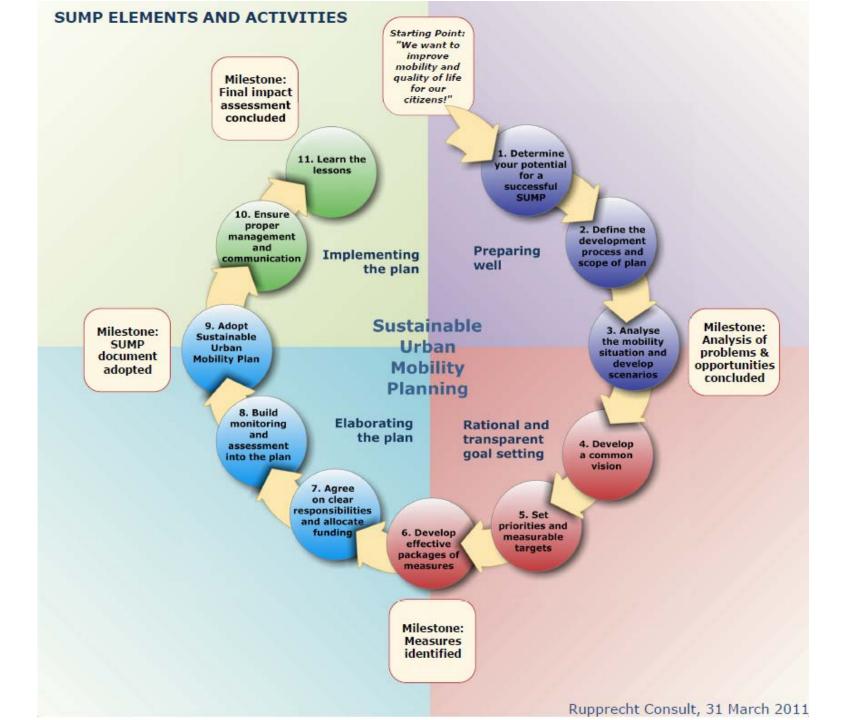
III: Elaborating the plan

IV: Implementing the plan

- SUMP Guidelines developed in ELTISplus:
  - > 11 Elements (= main steps) and
  - 32 Activities (= detailing specific tasks)





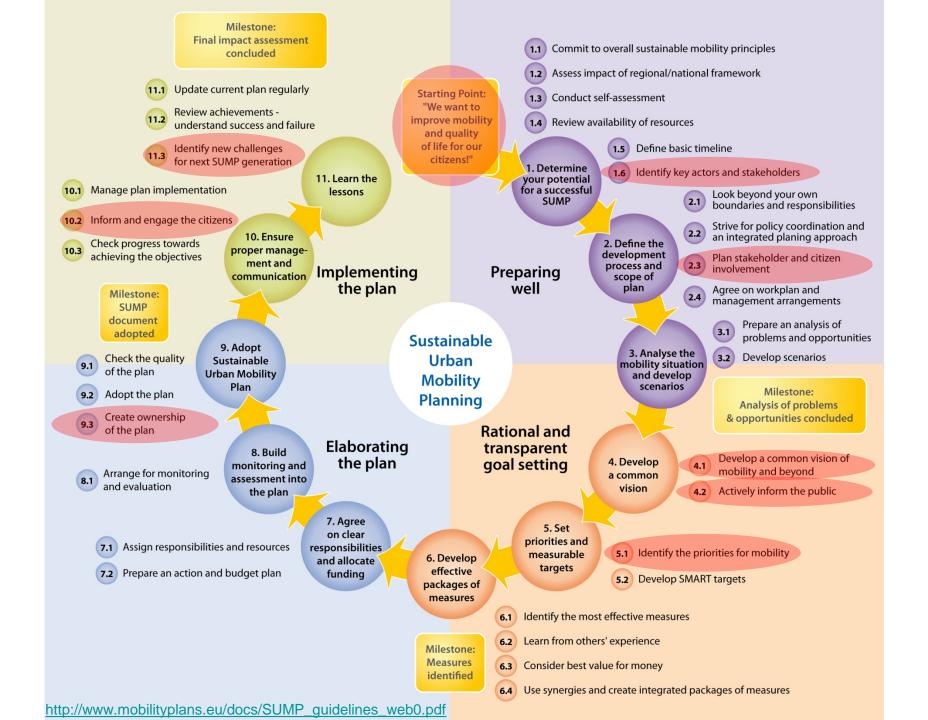




"Planning for People"

also Planning with People?

People = Citizens + Stakeholders



# Citizen and stakeholder involvement tools



### Information giving and gathering

#### Public information material

- Posters, notices and signs
- Letter, brochure
- Fact sheet, newsletter
- Promotion film, presentation
- 3D model

### Telephone and Broadcasting

- Telephone techniques
- Local radio and television shows

#### Internet

- Web based forums / public participation platform
- Web 2.0 / social media

### Surveying individuals

- Questionnaire surveys
- Key person interviews

### **Interactive engagement**

#### Information events

- Exhibition
- Information centre, Info Point
- Information session and briefing
- Lectures, discussions
- Site visits

#### Engaging selected stakeholder groups

- Community visits and study tours
- Focus group, dialogue café
- Workshop
- Technical working party

#### Engaging large groups

- Soundboard group,
- Stakeholder conference
- Transport visioning event
- Open space event

Source: GUIDEMAPS CONSORTIUM, 2004. Successful transport decision-making. A project management and stakeholder engagement handbook. Vol. 1 Concepts and Tools: p64.



### **CIVITAS ELAN**



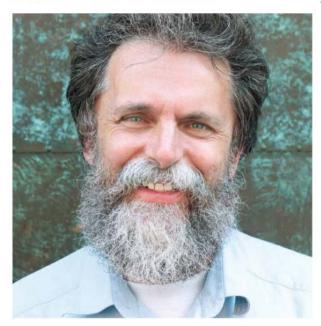
- "Putting the citizen first"
- Ljubljana, Gent, Zagreb, Brno, Porto

### Project mission

"To 'mobilise' our citizens by developing with their support clean mobility solutions for vital cities, ensuring health and access for all."

### A day with JANEZ IN LJUBLJANA

"Hi, I'm Janez from Ljubljana. I generally take the train and use my folding bike to get to work. Ljubljana has changed a lot in the last four years. It's become a lot more attractive to live in."











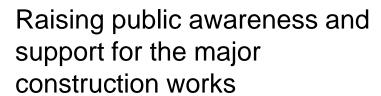




## Gent Participatory re-development of main station area







- soundboard group meetings
- dialogue cafés
- visits to construction works
- Information centre
  - Films, information markets, 3-D model, ...



A raam with a view door louderneyere

Bouw zijarm De Link - plaatsen kolom



Lessons learned

- Engage citizens at multiple levels
- Enable open communication between different interests
- Commit to answer all questions/ suggestions
- Stress "what's in" for citizens

Source: Project Gent Sint-Pieters, www.projectgentsintpiete

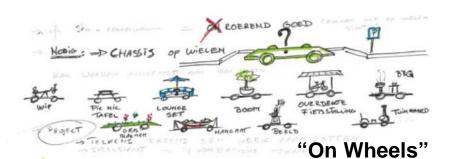




# "De Fiets van Troje" – Mobility Visioning













# Ljubljana Update of the Sustainable Urban Transport Plan





- CIVITAS ELAN Open Academy
  - workshops, lectures, discussions,
  - public opinion survey, site visits etc.
  - in collaboration with foreign experts
- Initial strong technical focus
- Inclusion of SUTP into "Transport Policy Paper for Ljubljana until 2020"

#### Lesson learned

- Improve credibility and broaden horizon through external expertise
- Convince potentially opposing stakeholders to attend events

# Porto Integrated accessibility planning in the Asprela quarter



 Improve mobility and accessibility in the area



- Brochures, door-to-door interviews
- Problem of identifying (informally) influential stakeholders



#### Lessons learned

- Carefully identify stakeholders
- Ensure that engagement tools and target groups match



### The CIVITAS ELAN Info Point



Making mobility information accessible to citizens

Printed information, presentations, promotion films

"Wednesdays in the Tram"

- 22,000 visitors from 2009-2012
- Good cooperation with media representatives



#### Lessons learned

- Find attractive means to engage with citizens
- Consider strong influence of media and prepare a media involvement strategy

# Brno Improving Bus Services for the Mobility-Impaired



- Involvement of main group of users
  - Demonstration rides
  - Mobility-impaired users consulted in technical issues (interior bus design) and operational issues (routes and type of service)





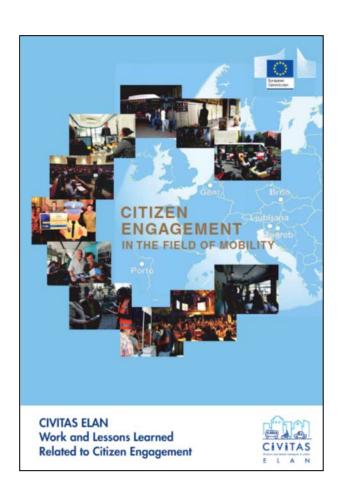
#### Lessons learned

- Involve target group right from the beginning
- Involve main user group in design issues and technical specifications

Source: Machalová, I. 2012. Training on Social Inclusion, Examples of the Social Inclusion in Public Transport in Brno. PPT, 22 - 23 March 2012, Coimbra.

### Further case studies at ...





Further ELAN case studies "CIVITAS ELAN: Citizen engagement in the field of mobility" at ...

www.rupprecht-consult.eu/ nc/downloads/document/e.html

#### **CIVITAS Handbooks:**

- Involving stakeholders: Toolkit on organising successful consultations
- Reaching the citizen: Toolkit on effective communications and marketing

civitas.eu → Mobility Solutions → Resource Center → CIVITAS Plus

### **Critical Reflection**



### Citizen and stakeholder involvement can be

- Time-consuming, costly and difficult to be funded
- Perceived as a new and "unnecessary" task
- Frustrating if decisions have already been made or questions remain unanswered
- Potentially disappointing for citizens if limited to a passive role
- Dangerous if it becomes a battleground for opposed stakeholders
- Risky if dominated by very articulate individual stakeholders
- Complicated if views expressed remain unstructered or wrong questions are being asked

# **Benefits of Involving Citizens and Stakeholders**



### For citizens and stakeholders

- Can articulate ideas and concerns
- Take ownership of ideas, measures and projects
- Contribute creative and innovative solutions (more than "yes" or "no")
- Become part of democratic process

### For city administrations

- Have an opportunity to explain/ justify urban mobility measures
- Win acceptance of plans, decisions
- Can "feel the temperature"
- Strengthen cooperation between actors
- Persuade citizens to test measures

#### For both

- Better awareness of urban mobility challenges (and complexity of solutions)
- Higher efficiency and effectiveness of policy choices
- Greater transparency of decision making
- Gap between citizen and politicians narrows
- Increased legitimacy of measures, projects
- Better use of new systems/ services

### **Conclusions**



- Citizen engagement
  - is an important element of long-term policy making
  - is not a substitute for political decision making (ultimate responsibility must remain with elected members)
  - opens opportunity for a new quality of cooperation and communication (trust is the key resource)
- Structured stakeholder consultation
  - can help to win support by rational discussion
  - is a mechanism of balancing interests (more) transparently
- Sustainable Urban Mobility Planning
  - is most successful in a culture of political dialogue and openness to new solutions (and helps to develop it)



### Thank you for your attention!

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